

## 1. 개정이유 및 주요내용

위임장 등 증명서류의 본인확인 시 인감증명서 등을 제출하는 대신 특허고객번호 정보에 등록된 인감 또는 서명을 확인하는 것으로 절차를 개선함으로써 신청인의 편의를 제고하고, 「산업디자인의 국제등록에 관한 헤이그협정」 가입국에 멕시코, 이스라엘 등이 추가된 것과 협정에서 정한 서식 중 개정된 사항을 반영하여 국제출원 관련 서식을 개정하는 한편, 공인인증서 제도를 폐지함으로써 다양한 민간의 전자서명 수단들을 이용할 수 있도록 하는 내용으로 「전자서명법」이 개정된 것을 반영하여 전자문서 이용신고를 하거나 전자문서로 서류를 제출하는 경우 등에 사용할 수 있는 전자서명·인증서의 제한을 폐지하는 등 현행 제도의 운영상 나타난 일부 미비점을 개선·보완하려는 것임.

## 2. 참고사항

가. 관계법령 : 생략

나. 예산조치 : 별도조치 필요 없음

다. 합 의 : 과학기술정보통신부 등과 합의되었음

라. 기 타 : 1) 신·구조문대비표, 별첨

2) 입법예고(2021. 3. 17. ~ 4. 26.) 결과, 특기할 사항 없

음

## 디자인보호법 시행규칙 일부개정령안

디자인보호법 시행규칙 일부를 다음과 같이 개정한다.

제3조 본문 중 “날인(전자문서의 경우에는 전자서명을 말한다. 이하 같다)하여야”를 “날인[전자문서의 경우에는 「전자서명법」 제2조제2호에 따른 전자서명(이하 “전자서명”이라 한다)을 말한다. 이하 같다]해야”로 하고, 같은 조 단서 중 “날인하여야”를 “날인해야”로 한다.

제8조제5항 각 호 외의 부분 중 “제출하여야”를 “제출해야”로 하고, 같은 항 제1호 중 “제16조 각 호의 어느 하나에 해당하는 전자서명”을 “전자서명”으로 한다.

제13조제1항 각 호 외의 부분에 단서를 다음과 같이 신설한다.

다만, 디자인에 관한 절차를 밟는 자가 특허고객번호 정보에 인감 또는 서명을 등록한 경우(「특허권 등의 등록령」 제22조제1항제7호에 해당하는 경우는 제외한다)에는 그 인감 또는 서명을 확인하는 것으로 다음 각 호의 서류의 제출명령을 갈음할 수 있다.

제16조 각 호 외의 부분 전단 중 “다음 각 호의 어느 하나에 해당하는 전자서명”을 “전자서명”으로, “하여야”를 “해야”로 하고, 같은 조 각 호 외의 부분 후단 중 “일치하여야”를 “일치해야”로 하며, 같은 조 각 호를 삭제한다.

제17조제1항 중 “제16조 각 호의 어느 하나에 해당하는 전자서명을 하여 제출하여야”를 “전자서명을 한 후 제출해야”로 한다.

제19조 중 “제16조 각 호에 따른 전자서명”을 “전자서명”으로, “사용하여야”를 “사용해야”로 한다.

별표 3 제4호가목4) 중 “UI(User Interface)”를 “사용자 인터페이스(UI, User Interface)”로 한다.

별지 제9호서식부터 별지 제12호서식까지, 별지 제13호의2서식부터 별지 제13호의5서식까지, 별지 제14호서식부터 별지 제17호서식까지, 별지 제17호의2서식부터 별지 제17호의5서식까지, 별지 제19호서식 및 별지 제19호의2서식부터 별지 제19조의5서식까지를 각각 별지와 같이 한다.

## 부 칙

이 규칙은 공포한 날부터 시행한다.

# 디자인등록증

CERTIFICATE OF DESIGN REGISTRATION

등록	제	호
Registration Number		
출원번호(국제등록번호)	제	호
Application Number		
출원일	년	월 일
Filing Date		
등록일	년	월 일
Registration Date		
등록의 구분	심사등록	
Type of Registration	Examined Registration	

물품류 Class

디자인의 대상이 되는 물품 Product

디자인권자 Owner

창작자 Creator

위의 디자인은 「디자인보호법」에 따라 디자인등록원부에 등록되었음을 증명합니다.

This is to certify that, in accordance with the Design Protection Act, the design has been registered at the Korean Intellectual Property Office.

년 월 일

**특허청장**

**COMMISSIONER,**

**KOREAN INTELLECTUAL PROPERTY OFFICE**

직인

서명

210mm × 297mm(백상지 120g/㎡)

# 디자인등록증

CERTIFICATE OF DESIGN REGISTRATION

등록	제	호
Registration Number		
출원번호(국제등록번호)	제	호
Application Number		
출원일	년	월 일
Filing Date		
등록일	년	월 일
Registration Date		
등록의 구분	일부심사등록	
Type of Registration	Partially Examined Registration	

물품류 Class

디자인의 대상이 되는 물품 Product

디자인권자 Owner

창작자 Creator

위의 디자인은 「디자인보호법」에 따라 디자인등록원부에 등록되었음을 증명합니다.

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직인

서명

210mm×297mm(백상지 120g/m<sup>2</sup>)

# 관련디자인등록증

CERTIFICATE OF RELATED DESIGN REGISTRATION

등록 Registration Number	제 호
출원번호(국제등록번호) Application Number	제 호
출원일 Filing Date	년 월 일
등록일 Registration Date	년 월 일
등록의 구분 Type of Registration	심사등록 Examined Registration
기본디자인 Principal Design	등록 제 호 Registration Number

물품류 Class

디자인의 대상이 되는 물품 Product

디자인권자 Owner

창작자 Creator

위의 디자인은 「디자인보호법」에 따라 디자인등록원부에 등록되었음을 증명합니다.

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직인

서명

210mm×297mm(백상지 120g/m<sup>2</sup>)

# 관련디자인등록증

## CERTIFICATE OF RELATED DESIGN REGISTRATION

등록	제	호
Registration Number		
출원번호(국제등록번호)	제	호
Application Number		
출원일	년	월 일
Filing Date		
등록일	년	월 일
Registration Date		
등록의 구분	일부심사등록	
Type of Registration	Partially Examined Registration	
기본디자인	등록	제 호
Principal Design	Registration Number	

물품류 Class

디자인의 대상이 되는 물품 Product

디자인권자 Owner

창작자 Creator

위의 디자인은 「디자인보호법」에 따라 디자인등록원부에 등록되었음을 증명합니다.

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### 특허청장

COMMISSIONER,

KOREAN INTELLECTUAL PROPERTY OFFICE

직인

서명

210mm×297mm(백상지 120g/m<sup>2</sup>)

# CERTIFICATE OF DESIGN REGISTRATION

Registration Number

Application Number  
(International  
Registration Number)

Filing Date

Registration Date

Type of Registration                      Examined Registration

Class

Product

Owner

Creator

This is to certify that, in accordance with the Design Protection Act, the design has been registered at the Korean Intellectual Property Office.

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# CERTIFICATE OF DESIGN REGISTRATION

Registration Number

Application Number  
(International  
Registration Number)

Filing Date

Registration Date

Type of Registration                      Partially Examined Registration

Class

Product

Owner

Creator

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서 명

# CERTIFICATE OF RELATED DESIGN REGISTRATION

Registration Number

Application Number  
(International  
Registration Number)

Filing Date

Registration Date

Type of Registration                      Examined Registration

Principal Design

Class

Product

Owner

Creator

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COMMISSIONER,  
KOREAN INTELLECTUAL PROPERTY OFFICE

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# CERTIFICATE OF RELATED DESIGN REGISTRATION

Registration Number

Application Number  
(International  
Registration Number)

Filing Date

Registration Date

Type of Registration                      Partially Examined Registration

Principal Design

Class

Product

Owner

Creator

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서 명

**휴대용 디자인등록증**  
PORTABLE CERTIFICATE OF DESIGN REGISTRATION

등록 제 호  
Registration Number  
출원번호(국제등록번호) 제 호  
Application Number  
출원일 년 월 일  
Filing Date  
등록일 년 월 일  
Registration Date  
등록의 구분 심사등록  
Type of Registration Examined Registration  
물품류 Class

디자인의 대상이 되는 물품 Product

디자인권자 Owner

창작자 Creator

위의 디자인은 「디자인보호법」에 따라 디자인등록원부에 등록되었음을 증명합니다.

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년 월 일

특허청장

COMMISSIONER,

KOREAN INTELLECTUAL PROPERTY OFFICE

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직인

등록사항

210mm×148mm(백상지 80g/㎡)



## 휴대용 관련디자인등록증

PORTABLE CERTIFICATE OF RELATED DESIGN REGISTRATION

등록 제 호  
Registration Number  
출원번호(국제등록번호) 제 호  
Application Number  
출원일 년 월 일  
Filing Date  
등록일 년 월 일  
Registration Date  
등록의 구분 심사등록  
Type of Registration Examined Registration  
기본디자인 등록 제 호  
Principal Design Registration Number  
물품류 Class

디자인의 대상이 되는 물품 Product

디자인권자 Owner

창작자 Creator

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특허청장

COMMISSIONER,

KOREAN INTELLECTUAL PROPERTY OFFICE

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서명

등록사항

210mm×148mm(백상지 80g/m<sup>2</sup>)

## 휴대용 관련디자인등록증

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등록 제 호  
Registration Number  
출원번호(국제등록번호) 제 호  
Application Number  
출원일 년 월 일  
Filing Date  
등록일 년 월 일  
Registration Date  
등록의 구분 일부심사등록  
Type of Registration Partially Examined Registration  
기본디자인 등록 제 호  
Principal Design Registration Number  
물품류 Class

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디자인권자 Owner

창작자 Creator

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등록사항

210mm×148mm(백상지 80g/m<sup>2</sup>)

**PORTABLE CERTIFICATE OF  
DESIGN REGISTRATION**

Registration Number

Application Number

(International  
Registration Number)

Filing Date

Registration Date

Type of Registration      Examined Registration

Class

Product

Owner

Creator

This is to certify that, in accordance with the Design Protection Act, the design  
has been registered at the Korean Intellectual Property Office.

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**REGISTRATION DETAILS**

Empty registration details box.

210mm×148mm(백상지 80g/㎡)



**PORTABLE CERTIFICATE OF  
DESIGN REGISTRATION**

Registration Number

Application Number

(International  
Registration Number)

Filing Date

Registration Date

Type of Registration      Partially Examined Registration

Class

Product

Owner

Creator

This is to certify that, in accordance with the Design Protection Act, the design  
has been registered at the Korean Intellectual Property Office.

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KOREAN INTELLECTUAL PROPERTY OFFICE**

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**REGISTRATION DETAILS**

Empty box for registration details.

210mm×148mm(백상지 80g/㎡)

**PORTABLE CERTIFICATE OF  
RELATED DESIGN REGISTRATION**

Registration Number

Application Number

(International  
Registration Number)

Filing Date

Registration Date

Type of Registration      Examined Registration

Principal Design

Class

Product

Owner

Creator

This is to certify that, in accordance with the Design Protection Act, the design  
has been registered at the Korean Intellectual Property Office.

**COMMISSIONER,  
KOREAN INTELLECTUAL PROPERTY OFFICE**

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서명

**REGISTRATION DETAILS**

210mm×148mm(백상지 80g/㎡)

**PORTABLE CERTIFICATE OF RELATED DESIGN REGISTRATION**

Registration Number  
Application Number  
(International  
Registration Number)  
Filing Date  
Registration Date  
Type of Registration      Partially Examined Registration  
Principal Design  
Class  
Product  
Owner  
Creator

This is to certify that, in accordance with the Design Protection Act, the design has been registered at the Korean Intellectual Property Office.

**COMMISSIONER,  
KOREAN INTELLECTUAL PROPERTY OFFICE**

서명

직인

**REGISTRATION DETAILS**

Blank area for registration details.

210mm×148mm(백상지 80g/㎡)

# 국제출원서

DM/1 (E)

HAGUE AGREEMENT  
CONCERNING THE INTERNATIONAL REGISTRATION OF INDUSTRIAL DESIGNS  
**APPLICATION FOR INTERNATIONAL REGISTRATION**

For instructions on the completion of this form, refer to the section  
“How to submit an international application to the International Bureau” of the [Hague Guide for Users](#).

## **IMPORTANT**

1. We strongly recommend that you file your international application through [eHague](#).
2. If you are not using eHague, please submit the present form directly to the International Bureau via [Contact Hague](#).
3. The international application may contain **several industrial designs (but may not exceed 100)**. However, all the industrial designs and/or products in relation to which such designs are to be used must belong to the **same class** of the International (Locarno) Classification. The list of classes and subclasses of the Locarno Classification, together with Explanatory Notes, is available on the [WIPO website](#). Refer also to footnote 2 in Item 6.
4. Where an item of this form is mandatory, it is indicated in parentheses next to its title “(mandatory)”. Where an item is mandatory following a certain designation, it is indicated in a footnote at the end of the item.
5. The application must be typed.
6. **Photographs and other graphic representations** of the industrial designs should be pasted or printed directly onto a separate sheet of A4 paper, white and opaque (in compliance with instructions in the [Guide](#)).
7. **Security clearance** is required by the laws of the **Russian Federation** and the **United States of America**. It is a requirement under the law of the Russian Federation that designs created in the Russian Federation by Russian legal entities or nationals are subject to a security clearance procedure by the Federal Service for Intellectual Property (ROSPATENT) to ensure that the designs do not contain state secrets. It is a requirement under the law of the United States of America that, for designs created in the United States of America, the applicant first obtains a license from the United States Patent and Trademark Office (USPTO) before filing outside of the United States of America.

## **FEE CALCULATOR**

8. A [Fee Calculator](#) is available on the WIPO website.

## **ANNEXES**

9. Annex I allows the applicant to submit a *Declaration of Inventorship*, or, if not possible, a *Substitute Statement in Lieu of a Declaration of Inventorship*, in respect of a designation of the **United States of America**. It is mandatory if the United States of America is designated.
10. Annex II allows the applicant to submit documentation in support of a declaration concerning exception to lack of novelty in respect of a designation of the **Republic of Korea** (refer to item 15).
11. Annex III allows the applicant to identify information known by the applicant to be material to the eligibility for protection of the industrial design(s) concerned in respect of a designation of the **United States of America**.
12. Annex IV allows the applicant to support a claim of micro entity status (refer to item 18) with a micro entity certification in order to benefit from a reduction of the individual designation fee in respect of a designation of the **United States of America**.
13. Annex V allows the applicant to submit documentation in support of a priority claim in respect of a designation of the **Republic of Korea** (refer to item 13).

This cover page must not be sent to the International Bureau.

World Intellectual Property Organization  
34, chemin des Colombettes, P.O. Box 18,  
1211 Geneva 20, Switzerland  
Tel.: +41 (0)22 338 7575  
Internet: [www.wipo.int](http://www.wipo.int)

For further information, please [Contact Hague](#)

## APPLICATION FOR INTERNATIONAL REGISTRATION

For use by the applicant

Your Reference: .....

This international application contains the following number of continuation sheets:

This international application is accompanied by the following Annex(es):

 I  II  III  IV  VFor use by the Office of indirect filingOFFICE TRANSMITTING THE INTERNATIONAL APPLICATION  
(if applicable)

Name of the Office: .....

Office reference: .....

Date of receipt of the international application

by the Office: .....

Signature and/or seal of the Office: .....

**1**

APPLICANT (all fields are mandatory except where indicated otherwise)

(a) Applicant is a (check only one box):

 Natural person – Family name: ..... Given name: ..... Legal entity – Official designation: .....

(b) Address: .....

Postal code: ..... City: ..... Country: .....

(c) E-mail<sup>1</sup> : .....(d) Telephone<sup>2</sup> : .....

<sup>1</sup> All communications concerning this international application and the resulting international registration will be sent only to the email address indicated above, unless an alternative email address for correspondence is indicated in item 4 or a representative is appointed. The applicant must ensure that the email address indicated above is correct and kept up to date.

<sup>2</sup> Indicating a phone number is not required, but it will allow the International Bureau to reach you if needed.

**2**

ENTITLEMENT TO FILE (mandatory)

With respect to each of the entitlement criteria (a) to (d) below, indicate the corresponding Contracting Party or Parties. If any item is not applicable, write "None". A list of the Contracting Parties bound by the 1999 Act and/or the 1960 Act is attached to the present form. Under item (d), only a Contracting Party bound by the **1999 Act** may be indicated. Where entitlement is derived from a connection with a Contracting Party that is a member State of an intergovernmental organization (European Union or African Intellectual Property Organization (OAPI)), both that member State and that intergovernmental organization should be indicated (such as "France, European Union") with respect to any of the corresponding criteria; where entitlement is derived from a connection with a member State of an intergovernmental organization that is not a Contracting Party, only that intergovernmental organization should be indicated.

(a) Nationality: .....

(b) Domicile: .....

(c) Real and effective industrial or commercial establishment:

(d) Habitual residence: .....

**3**

APPLICANT'S CONTRACTING PARTY (mandatory where the 1999 Act applies)

Indicate the Contracting Party or one of the Contracting Parties, bound by the **1999 Act** and mentioned in item 2, that is to be considered as the applicant's Contracting Party.

If no applicant's Contracting Party is indicated and the international application is filed through the Office of a Contracting Party that is indicated in item 2, the indication of that Contracting Party is deemed to be the applicant's Contracting Party.

Applicant's Contracting Party: .....

If there are several applicants, check this box and use a continuation sheet, giving the above required information under items 1, 2 and 3 for each applicant.

**4** ADDRESS FOR CORRESPONDENCE

Where there are **several applicants** with different addresses and no representative is appointed, an address for correspondence must be indicated. Where no such address has been indicated, the address of the first person indicated in item 1 shall be treated as the address for correspondence.

Address for correspondence: .....  
Postal code: ..... City: ..... Country: .....  
E-mail: .....

**5** APPOINTMENT OF A REPRESENTATIVE (if any)

The person identified below is hereby appointed as the representative of the applicant.

(a) Representative is a (check the appropriate box)<sup>3</sup>:

- Natural person – Family name: ..... Given name: .....
- Legal entity – Official designation: .....

(b) Address: .....  
Postal code: ..... City: ..... Country: .....

(c) E-mail<sup>4</sup>: .....

(d) Telephone<sup>5</sup>: .....

a power of attorney is attached to the present form (optional).

<sup>3</sup> Only one box should be checked. If both boxes are checked, the name of the representative will be recorded with the name of the natural person preceding the name of the legal entity.

<sup>4</sup> When a representative is appointed, all communications concerning this international application and the resulting international registration will be sent only to the email address of the representative. The applicant and the representative must ensure that the email address indicated above is accurate and kept up to date.

<sup>5</sup> Indicating a phone number is not required, but it will allow the International Bureau to reach your representative if needed.

**6** NUMBER OF INDUSTRIAL DESIGN(S), REPRODUCTION(S) AND/OR SPECIMEN(S) (mandatory)

(a) Total number of industrial designs (maximum of 100)<sup>6</sup>: .....

(b) Total number of reproductions: ..... Black and white: ..... Color: .....

(c) Total number of A4 pages comprising reproductions: .....

(d) Total number of specimens (if any): .....

<sup>6</sup> If the application includes more than one industrial design and designates Estonia, Kyrgyzstan, Mexico, Romania, the Russian Federation, the Syrian Arab Republic, Tajikistan, the United States of America or Viet Nam, it is strongly recommended to refer to the [Hague Guide for Users, International Application – Item 6](#).

**7**

**DESIGNATED CONTRACTING PARTIES** (mandatory - no Contracting Parties may be added afterwards.)

Indicate, by ticking the appropriate box, each Contracting Party where protection is sought. The designated Contracting Party must be bound by an Act – the 1999 Act and/or the 1960 Act – to which at least one of the Contracting Parties indicated in item 2 is also bound (a list of Contracting Parties is annexed to the present form):

- |   |  |   |  |
|---|--|---|--|
| <input type="checkbox"/> <b>AL</b> Albania                | <input type="checkbox"/> <b>ES</b> Spain                                 | <input type="checkbox"/> <b>LI</b> Liechtenstein                              | <input type="checkbox"/> <b>RU</b> Russian Federation                    |
| <input type="checkbox"/> <b>AM</b> Armenia                | <input type="checkbox"/> <b>FI</b> Finland <sup>7</sup>                  | <input type="checkbox"/> <b>LT</b> Lithuania                                  | <input type="checkbox"/> <b>RW</b> Rwanda                                |
| <input type="checkbox"/> <b>AZ</b> Azerbaijan             | <input type="checkbox"/> <b>FR</b> France                                | <input type="checkbox"/> <b>LV</b> Latvia                                     | <input type="checkbox"/> <b>SG</b> Singapore                             |
| <input type="checkbox"/> <b>BA</b> Bosnia and Herzegovina | <input type="checkbox"/> <b>GA</b> Gabon                                 | <input type="checkbox"/> <b>MA</b> Morocco                                    | <input type="checkbox"/> <b>SI</b> Slovenia                              |
| <input type="checkbox"/> <b>BG</b> Bulgaria               | <input type="checkbox"/> <b>GB</b> United Kingdom                        | <input type="checkbox"/> <b>MC</b> Monaco                                     | <input type="checkbox"/> <b>SM</b> San Marino                            |
| <input type="checkbox"/> <b>BJ</b> Benin                  | <input type="checkbox"/> <b>GE</b> Georgia                               | <input type="checkbox"/> <b>MD</b> Republic of Moldova                        | <input type="checkbox"/> <b>SN</b> Senegal                               |
| <input type="checkbox"/> <b>BN</b> Brunei Darussalam      | <input type="checkbox"/> <b>GH</b> Ghana <sup>7</sup>                    | <input type="checkbox"/> <b>ME</b> Montenegro                                 | <input type="checkbox"/> <b>SR</b> Suriname                              |
| <input type="checkbox"/> <b>BW</b> Botswana               | <input type="checkbox"/> <b>GR</b> Greece                                | <input type="checkbox"/> <b>MK</b> North Macedonia                            | <input type="checkbox"/> <b>ST</b> Sao Tome and Principe                 |
| <input type="checkbox"/> <b>BX</b> Benelux                | <input type="checkbox"/> <b>HR</b> Croatia                               | <input type="checkbox"/> <b>ML</b> Mali                                       | <input type="checkbox"/> <b>SY</b> Syrian Arab Republic                  |
| <input type="checkbox"/> <b>BZ</b> Belize                 | <input type="checkbox"/> <b>HU</b> Hungary <sup>7</sup>                  | <input type="checkbox"/> <b>MN</b> Mongolia                                   | <input type="checkbox"/> <b>TJ</b> Tajikistan                            |
| <input type="checkbox"/> <b>CA</b> Canada <sup>8</sup>    | <input type="checkbox"/> <b>IL</b> Israel <sup>8</sup>                   | <input type="checkbox"/> <b>MX</b> Mexico <sup>7</sup>                        | <input type="checkbox"/> <b>TM</b> Turkmenistan                          |
| <input type="checkbox"/> <b>CH</b> Switzerland            | <input type="checkbox"/> <b>IS</b> Iceland <sup>7</sup>                  | <input type="checkbox"/> <b>NA</b> Namibia                                    | <input type="checkbox"/> <b>TN</b> Tunisia                               |
| <input type="checkbox"/> <b>CI</b> Côte d'Ivoire          | <input type="checkbox"/> <b>IT</b> Italy                                 | <input type="checkbox"/> <b>NE</b> Niger                                      | <input type="checkbox"/> <b>TR</b> Turkey                                |
| <input type="checkbox"/> <b>DE</b> Germany                | <input type="checkbox"/> <b>JP</b> Japan                                 | <input type="checkbox"/> <b>NO</b> Norway                                     | <input type="checkbox"/> <b>UA</b> Ukraine                               |
| <input type="checkbox"/> <b>DK</b> Denmark                | <input type="checkbox"/> <b>KG</b> Kyrgyzstan                            | <input type="checkbox"/> <b>OA</b> African Intellectual Property Organization | <input type="checkbox"/> <b>US</b> United States of America <sup>9</sup> |
| <input type="checkbox"/> <b>EE</b> Estonia                | <input type="checkbox"/> <b>KH</b> Cambodia                              | <input type="checkbox"/> <b>OM</b> Oman                                       | <input type="checkbox"/> <b>VN</b> Viet Nam                              |
| <input type="checkbox"/> <b>EG</b> Egypt                  | <input type="checkbox"/> <b>KP</b> Democratic People's Republic of Korea | <input type="checkbox"/> <b>PL</b> Poland                                     | <input type="checkbox"/> <b>WS</b> Samoa                                 |
| <input type="checkbox"/> <b>EM</b> European Union         | <input type="checkbox"/> <b>KR</b> Republic of Korea <sup>8</sup>        | <input type="checkbox"/> <b>RO</b> Romania                                    |  |
|   |  | <input type="checkbox"/> <b>RS</b> Serbia                                     |  |

- <sup>7</sup> If **Finland, Ghana, Hungary, Iceland** and/or **Mexico** is/are designated, it is mandatory to indicate, in item 11, the identity of the creator. The latter declares to be the creator of the industrial design. Where the person identified as the creator is a person other than the applicant, it is hereby stated that the present international application has been assigned by the creator to the applicant.
- <sup>8</sup> Generally, products belonging to class 32 of the Locarno Classification cannot receive protection under the laws of **Canada, Israel** and the **Republic of Korea**. Consequently, a designation of Canada, Israel or the Republic of Korea in an international registration for industrial designs in class 32, would be the subject of a refusal by their respective Office.
- <sup>9</sup> If the **United States of America** is designated, it is mandatory to indicate, in item 11, the identity of the creator and to submit an oath or declaration of inventorship using Annex I.

**8**

**PRODUCTS WHICH CONSTITUTE THE INDUSTRIAL DESIGN OR IN RELATION TO WHICH IT IS TO BE USED** (mandatory) (see note 1 on cover page)

Locarno Class to which the industrial design(s) belong(s) (optional) : .....

No. of the design (in numerical order)	Total number of reproductions	Product(s)	Subclass (optional)

If the space provided is not sufficient, check this box and use a continuation sheet.

**9** DESCRIPTION<sup>10, 11, 12</sup>

Only the characteristic features of the industrial design(s) that appear in (a) reproduction(s) should be described. In addition, matter which is shown in a reproduction but for which protection is not sought may be indicated in the description (and/or by means of dotted or broken lines or coloring in the reproduction). The description can further disclose the operation or possible use of the industrial design as long as it is not technical. Furthermore, the omission of a specific view may be indicated. If the description exceeds 100 words, an additional fee of 2 Swiss francs per word exceeding 100, shall be payable.

.....

.....

.....

.....

.....

If the space provided is not sufficient, check this box and use a continuation sheet.

- 10 If the Syrian Arab Republic is designated, a brief description of the reproduction or of the characteristic features of the industrial design is mandatory.
- 11 If Romania or Viet Nam is designated, a brief description of the characteristic features of the design is mandatory.
- 12 If the Russian Federation is designated, ROSPATENT recommends that a brief description of the characteristic features of the industrial design be provided.

**10** DESCRIPTION OF THE REPRODUCTION (LEGENDS) OF THE INDUSTRIAL DESIGN(S)<sup>13</sup>

Associate the number in the margin of your reproduction (eg. 1.1, 1.2, etc.) with the corresponding code:  
**1** Perspective; **2** Front; **3** Back; **4** Top; **5** Bottom; **6** Left; **7** Right; **8** Reference;  
**9** Unfolded; **10** Exploded; **11** Cross-sectional; **12** Enlarged; **00** Other (limited to 40 characters)

No.	Code	Legend (max 40 characters, where code 00 has been indicated)	No.	Code	Legend (max 40 characters, where code 00 has been indicated)

If the space provided is not sufficient, check this box and use a continuation sheet.

- 13 Recommended for a designation of **Japan** and the **United States of America**. For more information, including some other Contracting Parties, it is recommended to refer to the [Guidance on Preparing and Providing Reproductions in Order to Forestall Possible Refusals on the Ground of Insufficient Disclosure of an Industrial Design by Examining Offices](#).



**11** IDENTITY OF THE CREATOR<sup>14</sup>

If no industrial design is indicated, it is understood that the identity of the creator relates to all industrial designs included in the present application.

■ No. of the design (in numerical order)	Creator(s)					
		Family name		Given name		
	Address					
	Postal code		City		Country	
	Family name		Given name			
	Address					
	Postal code		City		Country	
	Family name		Given name			
	Address					
	Postal code		City		Country	

If the space provided is not sufficient, check this box and use a continuation sheet.

<sup>14</sup> If **Bulgaria, Finland, Ghana, Hungary, Iceland, Japan, Mexico, the Republic of Korea, Romania, the Russian Federation, Serbia, Tajikistan, Turkey** or the **United States of America** is designated in item 7, this information is necessary (refer to [the Hague Guide for Users](#), "Identity of the creator").

**12** CLAIM (Applicable only to the designation of the **United States of America** and/or **Viet Nam**)<sup>15</sup>

United States of America<sup>16</sup>: The ornamental design for ..... as shown and described.

Viet Nam: By designating Viet Nam in item 7, the applicant is making the following claim: "Application for over all protection for industrial design(s) as shown and described."

<sup>15</sup> If the **United States of America** or **Viet Nam** is designated in item 7, this information is mandatory.

<sup>16</sup> Indicate an article in the blank space provided. Only one article shall be indicated, irrespective of the number of industrial designs contained in the present international application.

**13** PRIORITY CLAIM - Article 4 of the Paris Convention<sup>17</sup>

The applicant claims the priority of the earlier filing(s) mentioned below.

Indicate the number of each industrial design for which the priority is claimed. If no industrial design is indicated, it is understood that the priority claim relates to all industrial designs included in the present application.

Office of earlier filing	No. of earlier filing (if available)	Date of earlier filing (day/month/year)	No. of the design (in numerical order)	DAS code (if available) <sup>18</sup>	Annex V provided <sup>19</sup>
					<input type="checkbox"/>
					<input type="checkbox"/>
					<input type="checkbox"/>
					<input type="checkbox"/>
					<input type="checkbox"/>
					<input type="checkbox"/>

If the space provided is not sufficient, check this box and use a continuation sheet.

<sup>17</sup> If Japan, Mexico, the Republic of Korea, the Russian Federation and/or the United States of America is/are designated, it is strongly recommended to refer to the [Hague Guide for Users, International Application – Item 13](#).

<sup>18</sup> The WIPO Digital Access Service (DAS) is an electronic system allowing priority documents to be securely exchanged between participating IP Offices. If the Office of the earlier filing participates in DAS as a “depositing Office”, with respect to priority documents for industrial design applications, an access code may be obtained from that Office. If the Office of a designated Contracting Party also participates in DAS as an “accessing Office”, with respect to priority documents for industrial design applications, the applicant may provide the access code in this item so that the latter Office is able to access the priority document via DAS. For more information about DAS and its participating Offices, refer to the [WIPO website](#).

<sup>19</sup> Check the box if the priority document is provided using Annex V for the purpose of a designation of the **Republic of Korea**. A priority document may not be provided for the purpose of designating any other Contracting Party and will be disposed of.

**14** INTERNATIONAL EXHIBITION – Article 11 of the Paris Convention

The applicant claims that one or more designs were shown at an official, or officially recognized, international exhibition.

Indicate the number of each industrial design shown at the exhibition concerned. If no industrial design is indicated, it is understood that all industrial designs were shown at the above indicated exhibition.

Place where exhibition was held			
Name of the exhibition			
Date on which product(s) was(were) first exhibited (day/month/year)		No. of the design (in numerical order)	

If the space provided is not sufficient, check this box and use a continuation sheet.

**15** EXCEPTION TO LACK OF NOVELTY (optional element applicable only to a designation of **Japan** and/or the **Republic of Korea**)

For more guidance, refer to the [Hague Guide to Users, the International Application – Item 15](#).

Contracting Party concerned:  Japan and/or  Republic of Korea

The applicant claims to benefit from exceptional treatment provided for in the design law of the Contracting Party (ies) concerned, for disclosure of all the industrial designs, or the industrial designs indicated below, included in the present application.

.....  
 .....

Supporting documentation may be submitted using Annex II (only for the designation of the **Republic of Korea**). If Annex II is provided, check this box:

**16** RELATION WITH A PRINCIPAL DESIGN (optional element applicable only to the designation of **Japan** and/or the **Republic of Korea**)

Under the national laws of Japan and the Republic of Korea one/some design(s) may be requested to be registered as (a) related design(s) in relation to the principal design (for more guidance, refer to the [Hague Guide for Users, International Application – Item 16](#)).

(a) Check the appropriate box:

Contracting Party concerned:  Japan and/or  Republic of Korea

- The applicant requests **all** the industrial designs which are contained in the present international application to be registered as a related design, or
- The applicant requests **the following** industrial designs which are contained in the present international application to be registered as a related design(s):

Indicate the number(s) of the industrial design(s) concerned.

.....  
.....

(b) Information concerning the **principal design** to which the design(s) indicated under item (a) are related  
Check the appropriate box, and provide the required information.

(i) The principal design is the subject of:

- the present international application
- a prior international application designating the Contracting Party concerned (Japan and/or the Republic of Korea)  
WIPO reference No.<sup>20</sup>: .....
- a prior international registration designating the Contracting Party concerned (Japan and/or Republic of Korea)  
International Registration No.: .....
- a prior national application filed with the Office of the Contracting Party concerned (Japan and/or the Republic of Korea)  
Application No./Applicant's reference: .....
- a prior national registration at the Office of the Contracting Party concerned (Japan and/or the Republic of Korea)  
National registration No.: .....

(ii) If the above national or international application or registration contains several industrial designs, indicate the number of the industrial design to be considered as the principal design.

Design number of the principal design: .....

If the space provided is not sufficient, check this box and use a continuation sheet.

<sup>20</sup> The WIPO Reference No. is indicated in the International Bureau's acknowledgement of receipt of an international application (nine-digit number or "WIPO"+number).

## 17 PUBLICATION OF THE INTERNATIONAL REGISTRATION

Timing of publication (publication will take place, subject to weekly publication cycle, six months after the date of the international registration, unless the applicant requests one of the options below):

- (i) The applicant requests the immediate publication of the international registration
- (ii) The applicant requests a deferment of publication

- Period of deferment requested (in months, starting from the earliest priority date if priority is claimed): .....

**Important:** The period of deferment of publication cannot exceed 30 months counted from the date of the international application, or if priority is claimed, from the priority date. However:

- if **Iceland, Mexico, Poland, the Russian Federation, the United States of America** or **Viet Nam** is designated, or if **Hungary, Monaco** or **Ukraine** is designated under the 1999 Act, the applicant may NOT request deferment of publication;
- if **Denmark, Finland** or **Norway** is designated, the period of deferment cannot exceed **6 months**;
- if **Israel** is designated, the period of deferment cannot exceed **6 months** from the filing date;
- if the **United Kingdom** is designated, the period of deferment cannot exceed **12 months** from the filing date;
- if **Singapore** is designated, the period of deferment cannot exceed **18 months** from the filing date;
- if a Contracting Party is designated under the 1960 Act, or if **Belize, Benelux, Brunei Darussalam, Cambodia, Croatia, Estonia, OAPI, Samoa, Slovenia, Suriname** or the **Syrian Arab Republic** is designated, the period of deferment cannot exceed **12 months**.

## 18 REDUCTION OF INDIVIDUAL DESIGNATION FEE (Applicable only to a designation of **Israel, Mexico** and/or the **United States of America**)

### Israel

Check the box only if applicable:

- The applicant is a natural person, a small entity whose yearly revenue does not exceed the amount set in the Israeli Design Regulations, or a higher education institution recognized by Israeli law, and no priority is claimed under item 13.

**Important:** For determination of entitlement to a fee reduction, please visit the [Israel Patent Office \(ILPO\) website](#).

### Mexico

Check the box only if applicable:

- The applicant declares under oath to fall into one of the categories (a creator who is a natural person, a micro or small entity, a public or private higher education institution, or a public scientific or technological research institute) referred to in the first paragraph of the Fourth General Provision of the Agreement setting forth the fees for services provided by the Mexican Institute of Industrial Property (IMPI) and, accordingly, may pay only 50 percent of the corresponding fees.

**Important:** Applicants who wish to determine whether they are entitled to micro or small enterprise status should consult the [“Agreement establishing the classification of micro, small and medium-sized enterprises”](#) (only in Spanish).

### United States of America

Check the appropriate box:

- The applicant asserts **small entity** status within the meaning of Section 41(h) of Title 35 of the United States Code and Section 3 of the Small Business Act, and applicable regulations of the U.S. Patent and Trademark Office.
- The applicant certifies **micro entity** status within the meaning of Section 123 of Title 35 of the United States Code and applicable regulations of the U.S. Patent and Trademark Office. The applicant must **submit the micro entity certification** form PTO/SB/15A or PTO/SB/15B using Annex IV.

**Important:** For determination of entitlement to small entity status, please visit the [USPTO website](#).

**19** SIGNATURE BY THE APPLICANT OR HIS REPRESENTATIVE (mandatory)<sup>21</sup>

Applicant

Representative of the applicant<sup>22</sup>

Name: .....

Name: .....

Signature and/or seal: .....

Signature and/or seal: .....

Date of signature (day/month/year): .....

Date of signature (day/month/year): .....

Name of the person to contact, if necessary: .....

Email: .....

Telephone: .....

<sup>21</sup> The international application form must be signed by the applicant or the representative. The signature may be hand written, printed, stamped or in electronic form. Accepted forms of electronic signature are text-string, image, digital or computer generated signatures.

<sup>22</sup> Item 5 must be completed.

## PAYMENT OF FEES

- The applicant has requested a deferment of publication (item 17(ii)) and wishes to pay the publication fees at a later date but not later than three weeks before the period of deferment expires.

### 1. INSTRUCTION TO DEBIT FROM A WIPO CURRENT ACCOUNT

(if this box is completed, it is not necessary to complete item 2 below)

The International Bureau is hereby instructed to debit the required amount of fees from the following WIPO current account:

Holder of the account: ..... Account number: .....

Identity of the party giving the instruction: .....

### 2. METHOD OF PAYMENT

Identity of the party effecting the payment: .....

Payment made to WIPO bank account

IBAN No. CH51 0483 5048 7080 8100 0  Payment identification ..... day/month/year

Credit Suisse, CH-1211 Geneva 70 .....

Swift/BIC: CRESCHZZ80A

Payment made to WIPO postal account

IBAN No. CH03 0900 0000 1200 5000 8  Payment identification ..... day/month/year

Swift/BIC: POFICHBE .....

Payment made to the Office of indirect filing

(applicable to a filing through the United States Patent and Trademark Office)

**GRAND TOTAL IN SWISS FRANCS**

(see note 6 on cover page or use the fee calculation sheet attached herewith) .....

## FEE CALCULATION SHEET

This sheet is provided for the convenience of users.  
It is not necessary to complete this sheet if the fee calculator has been used.

3. AMOUNT OF FEES (see <a href="#">Fee Calculator</a> )	
(a) <u>Basic fees</u> <sup>23</sup>	
for one design .....	397.--
for each additional design	
19 Swiss francs × ..... designs in addition to the first .....	
(b) <u>Publication fees</u> <sup>23</sup>	
(i) Fee per reproductions	
17 Swiss francs × ..... reproductions .....	
(ii) Fee per page on which reproductions are presented	
150 Swiss francs × ..... page(s) in addition to the first .....	
(c) <u>Additional fee where the description exceeds 100 words</u> <sup>23</sup>	
2 Swiss francs × ..... words exceeding 100 .....	
(d) <u>Designation fees</u>	
(i) <u>Standard designation fees</u> <sup>23</sup> (only for Contracting Parties that do not require individual designation fees)	
There are three levels of standard designation fees. The list following the present calculation sheet indicates which level applies for each Contracting Party.	
Level 1:	
42 Swiss francs for the first design × ..... Contracting Parties .....	
+ 2 Swiss francs × ..... designs in addition to the first × ..... Contracting Parties .....	
Level 2:	
60 Swiss francs for the first design × ..... Contracting Parties .....	
+ 20 Swiss francs × ..... designs in addition to the first × ..... Contracting Parties .....	
Level 3 <sup>24</sup> :	
90 Swiss francs for first design × ..... Contracting Parties .....	
+ 50 Swiss francs × ..... designs in addition to the first × ..... Contracting Parties ; .....	

<sup>23</sup> For international applications filed by applicants whose sole entitlement is a connection with a Least Developed Country (LDC), in accordance with the [list established by the United Nations](#), these fees are reduced to 10% of the prescribed amounts. For the exact applicable amounts, see the [Fee Calculator](#).

<sup>24</sup> For designs belonging to classes 1, 2, 3, 5, 9, 11 or 19 of the Locarno Classification, level 3 of the standard designation fee applies in respect of a designation of the Republic of Korea. For designs belonging to any other class, the individual designation fee applies.

(ii) <u>Individual designation fee</u> (payable only for the following Contracting Parties)		
Canada:	288 Swiss francs × ..... designs .....	.....
European Union:	67 Swiss francs for first design + 67 Swiss francs × ..... designs in addition to the first .....	.....
Hungary:	70 Swiss francs for first design + 17 Swiss francs × ..... designs in addition to the first .....	.....
Israel:	109 Swiss francs (or where item 18 applies, 65 Swiss francs) × ..... designs .....	.....
Japan:	682 Swiss francs × ..... designs .....	.....
Kyrgyzstan:	129 Swiss francs for first design + 64 Swiss francs × ..... designs in addition to the first .....	.....
Mexico:	98 Swiss francs (or where item 18 applies, 49 Swiss francs) for the first design + 3 Swiss francs (or where item 18 applies, 1 Swiss franc) × ..... designs in addition to the first, for the <b>first part of the fee</b> <sup>25</sup> .....	.....
OAPI:	83 Swiss francs for a single design / 124 Swiss francs for a multiple deposit (more than one design) .....	.....
	<u>Applicants from Least Developed Countries:</u>	
	8 Swiss francs for a single design / 12 Swiss francs for a multiple deposit (more than one design) .....	.....
Republic of Korea <sup>24</sup> :	184 Swiss francs × ..... designs .....	.....
Republic of Moldova:	73 Swiss francs for first design + 7 Swiss francs × ..... designs in addition to the first .....	.....
Russian Federation:	138 Swiss francs for first design + 29 Swiss francs × ..... designs in addition to the first .....	.....
Turkmenistan:	589 Swiss francs for first design + 36 Swiss francs × ..... designs in addition to the first .....	.....
United States of America:	989 Swiss francs (or where item 18 applies, 495 Swiss francs for a small entity or 247 Swiss francs for a micro entity) for the <b>first part of the fee</b> <sup>26</sup> .....	.....
<b>GRAND TOTAL (SWISS FRANCS)</b>		.....

<sup>25</sup> The individual designation fee for Mexico comprises two parts, the first part to be paid at the time of filing of the international application and the second part upon notification by the Mexican Institute of Industrial Property.

<sup>26</sup> The individual designation fee for the United States of America comprises two parts, the first part to be paid at the time of filing of the international application and the second part upon invitation by the United States Patent and Trademark Office.



## CONTRACTING PARTIES OF THE HAGUE AGREEMENT

(in respect of each Contracting Party, the table below provides indications of the Act or Acts binding that Contracting Party and further indicates what kind of designation fee is payable for the designation of that Contracting Party<sup>27)</sup>)

Contracting Parties of the Hague Agreement	Acts of the Hague Agreement	
	<i>1999 Act</i>	<i>1960 Act</i>
(AL) Albania	<i>1</i>	<i>1</i>
(AM) Armenia	<i>2</i>	
(AZ) Azerbaijan	<i>1</i>	
(BA) Bosnia and Herzegovina	<i>1</i>	
(BG) Bulgaria	<i>2</i>	<i>2</i>
(BJ) Benin		<i>1</i>
(BN) Brunei Darussalam	<i>3</i>	
(BW) Botswana	<i>1</i>	
(BX) Benelux	<i>1</i>	<i>1</i>
(BZ) Belize	<i>1</i>	<i>1</i>
(CA) Canada	<i>IF</i>	
(CH) Switzerland	<i>2</i>	<i>2</i>
(CI) Côte d'Ivoire		<i>1</i>
(DE) Germany	<i>2</i>	<i>2</i>
(DK) Denmark	<i>2</i>	
(EE) Estonia	<i>2</i>	
(EG) Egypt	<i>1</i>	
(EM) European Union	<i>IF</i>	
(ES) Spain	<i>1</i>	
(FI) Finland	<i>3</i>	
(FR) France	<i>1</i>	<i>1</i>
(GA) Gabon		<i>1</i>
(GB) United Kingdom	<i>1</i>	
(GE) Georgia	<i>3</i>	<i>3</i>
(GH) Ghana	<i>3</i>	
(GR) Greece		<i>1</i>
(HR) Croatia	<i>2</i>	<i>2</i>
(HU) Hungary	<i>IF</i>	<i>IF</i>
(IL) Israel	<i>IF</i>	
(IS) Iceland	<i>3</i>	
(IT) Italy		<i>1</i>
(JP) Japan	<i>IF</i>	
(KG) Kyrgyzstan	<i>IF</i>	<i>IF</i>
(KH) Cambodia	<i>2</i>	
(KP) Democratic People's Republic of Korea	<i>3</i>	<i>3</i>
(KR) Republic of Korea	<i>IF/3</i> <sup>28</sup>	
(LI) Liechtenstein	<i>1</i>	<i>1</i>
(LT) Lithuania	<i>3</i>	
(LV) Latvia	<i>2</i>	
(MA) Morocco		<i>2</i>
(MC) Monaco	<i>1</i>	<i>1</i>

<sup>27</sup> A numeral (1, 2 or 3) indicates the applicable level of standard designation fee and the letters "IF" indicate that individual designation fees are applicable (the amounts of all these fees are indicated in the Fee Calculation Sheet). Where no indication (numeral or letters) appears, this means that the Contracting Party is not bound by the corresponding Act.

<sup>28</sup> For designs belonging to classes 1, 2, 3, 5, 9, 11 or 19 of the Locarno classification, level 3 of the standard designation fee applies in respect of a designation of the Republic of Korea. For designs belonging to any other class, the individual designation fee applies.

Contracting Parties of the Hague Agreement	Acts of the Hague Agreement	
	1999 Act	1960 Act
(MD) Republic of Moldova	<i>IF</i>	<i>IF</i>
(ME) Montenegro	<i>1</i>	<i>1</i>
(MK) North Macedonia	<i>1</i>	<i>1</i>
(ML) Mali		<i>1</i>
(MN) Mongolia	<i>1</i>	<i>1</i>
(MX) Mexico	<i>IF</i>	
(NA) Namibia	<i>1</i>	
(NE) Niger		<i>1</i>
(NO) Norway	<i>2</i>	
African Intellectual Property Organization (OA) (OAPI)	<i>IF</i>	
(OM) Oman	<i>1</i>	
(PL) Poland	<i>2</i>	
(RO) Romania	<i>3</i>	<i>3</i>
(RS) Serbia	<i>3</i>	<i>3</i>
(RU) Russian Federation	<i>IF</i>	
(RW) Rwanda	<i>1</i>	
(SG) Singapore	<i>1</i>	
(SI) Slovenia	<i>1</i>	<i>1</i>
(SM) San Marino	<i>1</i>	
(SN) Senegal		<i>1</i>
(SR) Suriname	<i>1</i>	<i>1</i>
(ST) Sao Tome and Principe	<i>1</i>	
(SY) Syrian Arab Republic	<i>3</i>	
(TJ) Tajikistan	<i>3</i>	
(TM) Turkmenistan	<i>IF</i>	
(TN) Tunisia	<i>2</i>	
(TR) Turkey	<i>1</i>	
(UA) Ukraine	<i>2</i>	<i>2</i>
(US) United States of America	<i>IF</i>	
(VN) Viet Nam	<i>3</i>	
(WS) Samoa	<i>1</i>	

## 신규성 상실 예외 주장 증명서류제출서

**DM/1/II (E)**

### ANNEX II:

### SUPPORTING DOCUMENT(S) CONCERNING A DECLARATION TO THE EXCEPTION TO LACK OF NOVELTY under Section 408(c)(ii) of the Administrative Instructions

#### IMPORTANT

1. This cover sheet is to submit documentation in support of a declaration concerning exception to lack of novelty to the Korean Intellectual Property Office (KIPO) (refer to DM/1, item 15)
2. Please note that a declaration concerning exception to lack of novelty might affect the applicant's rights in other jurisdictions. It is the responsibility of the applicant to ensure that his rights are preserved.
3. This Annex must be submitted with the DM/1 form at the time of filing. It cannot be submitted alone.
4. Please number your pages.

For use by the applicant

This Annex concerns the international application referenced by the applicant as below:

Reference:.....

For use by the International Bureau

Document type: DDD



#### SUPPORTING DOCUMENT(S) CONCERNING A DECLARATION TO THE EXCEPTION TO LACK OF NOVELTY

Contracting Party concerned	Design(s) number(s) (leave empty if the documents concern all designs)	Number of pages (including this cover page)
KR		

World Intellectual Property Organization  
34, chemin des Colombettes, P.O. Box 18,  
1211 Geneva 20, Switzerland  
Tel.: +41 (0)22 338 9111  
Internet: [www.wipo.int](http://www.wipo.int)  
[Contact Hague](#)

# 발명자 선언서

DM/1/I (E)

## ANNEX I:

### OATH OR DECLARATION OF THE CREATOR under Rule 8(1)(a)(ii) of the Common Regulations

#### IMPORTANT

1. This cover sheet is mandatory content for an international application designating the **United States of America**.
2. This cover sheet is to submit a Declaration of Inventorship (Declaration), following Rule 8(1)(a)(ii) OR, in the impossibility to provide such declaration, a Substitute Statement in Lieu of a Declaration of Inventorship (Substitute Statement). Please provide a Declaration OR a Substitute Statement for each creator indicated under item 11 of the DM/1 form.
3. This cover sheet may also be used by applicants who wish to submit an alternative document that they know is deemed a valid inventor's oath or declaration under the United States of America national requirements.
4. For detailed information on the inventor's oath or declaration, please visit the USPTO website: [http://www.uspto.gov/forms/aia\\_oathdecl\\_guide.pdf](http://www.uspto.gov/forms/aia_oathdecl_guide.pdf).
5. This cover sheet, in addition to the Declaration/Substitute Statement/Alternative Document referred to in paragraph 3, must be submitted with the DM/1 form at the time of filing the international application.
6. Please number your pages.

#### For use by the applicant

This Annex concerns the international application referenced by the applicant as below:

Reference: .....

#### For use by the International Bureau

Document type: DDO

Contracting Party: US



#### DECLARATION UNDER RULE 8(1)(a)(ii) OF THE COMMON REGULATIONS

Number of pages: ..... (including this cover page)

World Intellectual Property Organization  
 34, chemin des Colombettes, P.O. Box 18,  
 1211 Geneva 20, Switzerland  
 Tel.: +41 (0)22 338 9111  
 Internet: [www.wipo.int](http://www.wipo.int)  
[Contact Hague](#)

ANNEX I – DECLARATION OF INVENTORSHIP (Page 1 of 1)

**I**

DECLARATION OF INVENTORSHIP  
FOR THE DESIGNATION OF THE UNITED STATES OF AMERICA

I hereby declare that I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

This declaration is directed to the attached international application (if filing declaration with application).

This declaration is directed to international application .....  
*(if furnishing declaration after filing the application, the declaration must identify the international application by the application reference number assigned by the International Bureau or the office of indirect filing, or by applicant's reference number which was on the application as filed).*

I hereby declare that the above-identified international application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Name of Inventor: .....

Inventor's Signature: ..... Date (optional): .....

*(The signature must be that of the inventor, not that of the agent.)*

Residence: *(required only if the inventor lives at a location different from the mailing address contained in the international application)*

.....  
*(city and either U.S. state, if applicable, or country)*

## ANNEX I – SUBSTITUTE STATEMENT (Page 1 of 2)

**I**SUBSTITUTE STATEMENT IN LIEU OF A DECLARATION OF INVENTORSHIP  
FOR THE DESIGNATION OF THE UNITED STATES OF AMERICA

This statement is directed to:

 The attached international application

OR

 international application .....*(if furnishing statement after filing the application, the statement must identify the international application by the application reference number assigned by the International Bureau or the office of indirect filing, or by applicant's reference number which was on the application as filed).***NAME of inventor to whom this substitute statement applies:**Residence: *(not required if the inventor lives at the same location as the mailing address contained in the international application, or the inventor is deceased or legally incapacitated)*City: ..... U.S. State: ..... Country: .....  
*(if applicable)*

I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.

The above-identified application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Relationship to the inventor to whom this substitute statement applies:

- Legal Representative (for deceased or legally incapacitated inventor only)
- Assignee
- Person to whom the inventor is under an obligation to assign
- Person who otherwise shows a sufficient proprietary interest in the matter (a petition under 37 CFR 1.46 is required and should be submitted directly to the USPTO after publication of the international registration),  
or
- Joint inventor

ANNEX I – SUBSTITUTE STATEMENT (Page 2 of 2)

Circumstances permitting execution of this substitute statement:

- Inventor is deceased
- Inventor is under legal incapacity
- Inventor cannot be found or reached after diligent effort, or
- Inventor has refused to execute the oath or declaration under 37 CFR 1.63.

**PERSON EXECUTING THIS SUBSTITUTE STATEMENT**

Name: ..... Date (optional): .....

Signature: .....

**The party who is signing the substitute statement must be an applicant. If the applicant is a juristic entity (legal entity), list the applicant name and the title of the signer below.**

Applicant name: .....

Title of person executing this Substitute Statement: .....

*The signer, whose title is supplied above, is authorized to act on behalf of the applicant.*

**Residence of the signer:** *(not required if the signer's mailing address is contained in the international application or indicated below and the signer lives at the same location as the mailing address)*

City: ..... U.S. State: ..... Country: .....  
*(if applicable)*

**Mailing address of the signer:** *(not required if contained in the international application)*

City: ..... U.S. State: ..... Zip: ..... Country: .....  
*(if applicable)*

## 보호적격을 위한 정보진술서

DM/1/III (E)

### ANNEX III:

#### INFORMATION ON ELIGIBILITY FOR PROTECTION

under Rule 7(5)(g) and Section 408(d) of the Administrative Instructions

#### IMPORTANT

1. This cover sheet is optional and serves to submit a statement under Rule 7(5)(g) that identifies information known by the applicant to be material to the eligibility for protection of the industrial design concerned.
2. This cover sheet is only relevant for the designation of the **United States of America** and serves to submit an *Information Disclosure Statement* and relevant accompanying documentation. Please note that the *Information Disclosure Statement* may also be submitted directly to the United States Patent and Trademark (USPTO).
3. The *Information Disclosure Statement* forms (SB08a/SB08b/SB08a-EFS-web) are available on the USPTO web site: [http://www.uspto.gov/forms/aia\\_forms.jsp](http://www.uspto.gov/forms/aia_forms.jsp).
4. For detailed information on the *Information Disclosure Statement*, please visit the USPTO web site: [www.uspto.gov/web/offices/pac/mpep/s609.html](http://www.uspto.gov/web/offices/pac/mpep/s609.html).
5. This cover sheet must be submitted with the DM/1 form at the time of filing. It cannot be submitted alone.
6. Please number your pages.

#### For use by the applicant

This Annex concerns the international application referenced by the applicant as below:

Reference:.....

#### For use by the International Bureau

Document type: DDP

Contracting Party: US



#### INFORMATION ON ELIGIBILITY FOR PROTECTION

Number of pages: .....(including this cover page)

World Intellectual Property Organization  
 34, chemin des Colombettes, P.O. Box 18,  
 1211 Geneva 20, Switzerland  
 Tel.: +41 (0)22 338 9111  
 Internet: [www.wipo.int](http://www.wipo.int)  
[Contact Hague](#)



# 미국의 개별지정수수료 감면증명서

DM/1/IV (E)

## ANNEX IV:

### REDUCTION OF UNITED STATES INDIVIDUAL DESIGNATION FEE under Section 408(b) of the Administrative Instructions

#### IMPORTANT

1. This cover sheet is only relevant for the designation of the **United States of America** and is mandatory content if the applicant claims **micro entity status** in the DM/1 form. It cannot be submitted alone.
2. This document is to submit a **certification** following Section 408(b) of the Administrative Instructions.
3. The certification form (**PTO/SB/15A** or **PTO/SB/15B**), along with the instructions for its completion and signature, is available on the United States Patent and Trademark Office web site:: [http://www.uspto.gov/forms/aia\\_forms.jsp](http://www.uspto.gov/forms/aia_forms.jsp).
4. Please number your pages.

#### For use by the applicant

This Annex concerns the international application referenced by the applicant as below:

Reference: .....

#### For use by the International Bureau

Document type: DDE

Contracting Party: US



#### REDUCTION OF INDIVIDUAL DESIGNATION FEES

Number of pages: .....(including this cover page)

World Intellectual Property Organization  
 34, chemin des Colombettes, P.O. Box 18,  
 1211 Geneva 20, Switzerland  
 Tel.: +41 (0)22 338 9111  
 Internet: [www.wipo.int](http://www.wipo.int)  
[Contact Hague](#)



인증을 온라인으로 제출하거나 전자적기록매체에 수록하여 제출하는 경우에는 다음 각 호의 어느 하나에 해당하는 방법에 따라 제출하여야 한다.

1. 특허청에서 제공하는 소프트웨어나 특허청 홈페이지를 이용하여 포괄위임장에 제16조 각 호의 어느 하나에 해당하는 전자서명을 하여 전자문서로 제출

2. (생략)

제13조(증명서류의 제출) ① 특허청장, 특허심판원장 또는 심판장은 디자인에 관한 절차를 밟는 자에 대한 구체적인 확인이 필요하다고 인정되면 다음 각 호의 서류를 제출하게 할 수 있다. <단서 신설>

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--- 제출해야 ---.

1. -----  
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----- 전자서명 -----  
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2. (현행과 같음)

제13조(증명서류의 제출) ① ---  
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---. 다만, 디자인에 관한 절차를 밟는 자가 특허고객번호 정보에 인감 또는 서명을 등록한 경우(「특허권 등의 등록령」 제22조제1항제7호에 해당하는 경우는 제외한다)에는 그 인감 또는 서명을 확인하는 것으로 다음 각 호의 서류의 제출명령

1. ~ 3. (생략)

② ~ ④ (생략)

제16조(전자문서 이용신고) 법 제30조제1항에 따라 전자문서에 의하여 디자인에 관한 절차를 밟으려는 자는 다음 각 호의 어느 하나에 해당하는 전자서명에 필요한 인증서를 사용하여 전산정보처리조직을 통하여 법 제31조제1항에 따른 전자문서 이용신고를 하여야 한다. 이 경우 전자서명에 필요한 인증서의 내용은 특허고객번호의 출원인 정보와 일치하여야 한다.

1. 「전자서명법」 제2조제3호에 따른 공인전자서명

2. 「전자정부법」 제2조제9호에 따른 행정전자서명

제17조(전자문서의 제출 등) ① 전자문서는 특허청에서 제공하는 소프트웨어 또는 특허청 홈페이지를 이용하여 제16조 각 호의 어느 하나에 해당하는 전자서명을 하여 제출하여야 한다.

을 같음할 수 있다.

1. ~ 3. (현행과 같음)

② ~ ④ (현행과 같음)

제16조(전자문서 이용신고) -----

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----- 전자서명 -----

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----- 해야 -----.

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----- 일치해야 -----.

<삭 제>

<삭 제>

<삭 제>

<삭 제>

제17조(전자문서의 제출 등) ① -

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----- 전자서명을

한 후 제출해야 -----

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<p>②·③ (생략)</p> <p>제19조(온라인 제출방법) 온라인 제출을 하려는 자는 <u>제16조 각 호에 따른 전자서명에 필요한</u> 인증서를 <u>사용하여야</u> 한다.</p>	<p>②·③ (현행과 같음)</p> <p>제19조(온라인 제출방법) ----- ----- <u>전자서명</u>----- ----- ----- <u>사용해야</u> -----.</p>
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